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FRANKLIN TEMPLETON SHARIAH FUNDS

# Application Form for Institutions

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**FRANKLIN TEMPLETON SHARIAH FUNDS ("FTSF")**
**APPLICATION NUMBER** 

Please complete this application form in full using **BLOCK** capitals and black ink, and sign it at the places indicated. Please return the completed form by mail to Franklin Templeton International Services S.à r.l., B.P. 169, L-2011 Luxembourg. Tel: +352.46.66.67.212/Fax: +352.46.66.76. FTSF's Management Company, Franklin Templeton International Services S.à r.l., reserves the right not to process incomplete applications, in particular if signatures are missing.

**1 REGISTRATION DETAILS** (Please refer to Application Note A and B) Fields marked with an asterisk (\*) are mandatory.

**\*COMPANY/ORGANISATION NAME AND LEGAL FORM**


Sub Account Reference	*Company Registration Number
<input type="text"/>	<input type="text"/>

 \*Address (P.O. Box not accepted) 

*City/Town	*Post Code	*Country of Registration
<input type="text"/>	<input type="text"/>	<input type="text"/>

*Date of Incorporation	*Country of Tax Residence	*Tax Identification Number
<input type="text"/>	<input type="text"/>	<input type="text"/>

 \*Principal place of business (if it is different from country of registration) 

- \*Regulated in a FATF/EEA country?  Yes  No
- \*Listed on a Stock Exchange in a FATF/EEA Country?  Yes  No
- \*Type of Institutional Investor:  Bank  Private Bank  Insurance  Investment Fund  Pension Scheme  Other Financial Institution  
 Company (Non-Financial Institution)  Trust  Government body
- \*Source of Wealth/Funds for this investment (please tick only one of the boxes):  
 Money from fund managed  Sale of Property  Sale of Business  Wealth generated through the activities of the company  
 Acting as custodian/nominee for

Register the following email address(es) to receive e-mail alerts as and when a document is available in the online platform. To record more email addresses, please complete and return the e-Documents Registration Form.

Name	Email
<input type="text"/>	<input type="text"/>

Name	Email
<input type="text"/>	<input type="text"/>

**CONTACT PERSON** (for confirmations/contract notes)

First Name	Last Name
<input type="text"/>	<input type="text"/>

Telephone Number	Fax Number
<input type="text"/>	<input type="text"/>

 Email (To activate submission of instructions via email, you need to provide your email address and fill in the Indemnity Form. To record more email addresses, please use the fields in the Indemnity Form.) 
**CORRESPONDENCE DETAILS** (Address that will be used for statement mailings and all other written correspondence related to your investment. Please refer to Application Note B)

 Same as above  
 \*Company/Organisation Name 

Attention of	*Address
<input type="text"/>	<input type="text"/>

*City/Town	*Post Code	*Country
<input type="text"/>	<input type="text"/>	<input type="text"/>

**2 TRADING METHOD**

<input type="checkbox"/> SWIFT ISO 20022 (Prior agreement needs to be obtained.)	SWIFT code	<input type="text"/>
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<input type="checkbox"/> Order Router	SWIFT code	<input type="text"/>	Order Router Name	<input type="text"/>
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<input type="checkbox"/> Vestima*	SWIFT code	<input type="text"/>	Clearstream Account	<input type="text"/>
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 Fax

 Email

\*Deal Instructions for settlement via Clearstream should be sent via Clearstream's Vestima platform.

**Questions?** Please contact your Financial Adviser or local Franklin Templeton Investments office

### 3 KEY INVESTOR INFORMATION DOCUMENT

If your account is serviced in the EU/EEA or in a country where the KIID is a mandatory document and if you do not have a Financial Adviser, you can opt to download the Key Investor Information documents from the Franklin Templeton Investments website ([www.ftidocuments.com](http://www.ftidocuments.com)) for any future investment(s).

Please tick the box below if you would like to benefit from this electronic access and make sure that your email address(es) is(are) stated in section 1.

We agree and consent to access Key Investor Information document(s) from [www.ftidocuments.com](http://www.ftidocuments.com) for any future investment.

### 4 CLASS I SHARES INVESTORS' CLASSIFICATION

**Please be aware that an investment in Class I Shares is conditional upon the qualification as an Institutional Investor within the meaning of article 174 of the Luxembourg law of 17 December 2010 on undertakings for collective investment, i.e. belonging to one of the below categories:**

- a) Institutional Investors stricto sensu, such as banks and other regulated professionals of the financial sector, insurance and reinsurance companies, social security institutions and pension funds, charitable institutions, industrial, commercial and financial group companies, all subscribing on their own behalf, and the structures which such Institutional Investors put into place for the management of their own assets.
- b) Credit institutions and other regulated professionals of the financial sector investing in their own name but on behalf of Institutional Investors as defined in a) above.
- c) Credit institutions and other regulated professionals of the financial sector established in Luxembourg or abroad which invest in their own name but on behalf of their non-institutional clients on the basis of a discretionary management mandate.
- d) Collective investment undertakings established in Luxembourg or abroad.
- e) Holding companies or similar entities, whether Luxembourg-based or not, whose shareholders are Institutional Investors as described a) to d) above.
- f) Holding companies or similar entities, whether Luxembourg-based or not, whose shareholder(s)/beneficial owner(s) is/are individual person(s) which is/are extremely wealthy and may reasonably be regarded as sophisticated investor(s) and where the purpose of the holding company is to hold important financial interests/investments for an individual or a family.
- g) A holding company or similar entity, whether Luxembourg-based or not, which as a result of its structure and activity has a true substance and holds important financial interests/investments.

**Please be aware that requalification as a non-institutional investor by the Luxembourg tax authorities may trigger adverse tax consequences.**

### 5 BANK ACCOUNT DETAILS (Please refer to Application Note C)

Please indicate your bank details below to allow proceeds of any future sale of Shares or dividends to be paid to an account held in the Investor(s)' name. Payment for Shares sold will normally be made in the Share Class currency by electronic bank transfer of funds. **Third party payments will not be executed.**

If you wish to provide us with additional account details for payments in other currencies, please attach a separate signed sheet.

#### 5A. SALE OF SHARES

Bank Account Currency

Beneficiary Bank Name and Address

Routing Code (SWIFT/SORT/ABA/BLZ etc.)

Beneficiary Account Name

Beneficiary Account Number (IBAN)

Reference (optional)

#### 5B. DIVIDENDS

Bank Account Currency

Beneficiary Bank Name and Address

Routing Code (SWIFT/SORT/ABA/BLZ etc.)

Beneficiary Account Name

Beneficiary Account Number (IBAN)

Reference (optional)

### 6 DIVIDEND OPTIONS (Please refer to Application Note D)

Please tick the box below to indicate whether any dividends from your investment should be re-invested or paid out. **If no box is ticked any dividends will be reinvested.** Dividend payments will be made by electronic bank transfer to your account. Should no bank details be indicated in section 5 above, payments will be made by cheque to the address indicated in section 1, Correspondence. For Clearstream/Euroclear settlements, dividends are paid out only to your Clearstream/Euroclear account stated in section 2. If you would like to set up different dividend options for each Fund please contact your financial adviser or the service team at your local Franklin Templeton Investments office.

Reinvest

Paid out

Fund Currency

Other Currency

**Questions?** Please contact your Financial Adviser or local Franklin Templeton Investments office

## 7 BENEFICIAL OWNER DECLARATION Completing this section is mandatory.

Pursuant to Article 1(7) of the Luxembourg law of 12 November 2004 on the fight against money laundering and terrorist financing, as amended, FTSF and the Management Company must identify any beneficial owner(s) for any legal entity. A beneficial owner shall, in accordance with this law, mean any natural person(s) who ultimately own(s) or control(s) the Legal Entity (the "Beneficial Owner").

The investor declares:

To be the beneficial owner of the subscribed shares/units.

To invest on behalf of a third party duly identified by the registered account holder.

The investor confirms being a professional of the financial sector, licensed, regulated and supervised by the financial supervisory authority in its home country.

The investor declares having the necessary measures to prevent the subscribed shares/units to be used for money laundering and terrorism financing purposes.

**In any case, please fill and attach the Appendix A - Beneficial Owner Declaration Form (mandatory).**

## 8 DECLARATIONS (Please refer to Application Note E)

1. We apply for Shares of the Fund(s) indicated, subject to the Articles of FTSF and its prospectus supplemented by country specific annexes, if any. If applicable in our jurisdiction, we confirm having received, read and understood the relevant Key Investor Information document(s) especially the objectives and investment policy(ies), the risk factors and the charges applicable to the relevant Fund(s).
2. We understand that our application is subject to receipt and acceptance by FTSF or the Management in Luxembourg.
3. We hereby confirm that we are aware that the value of the Shares might go down as well as up.
4. We declare that the Shares are NOT being applied for or acquired directly or indirectly or on behalf of a US person (as defined in the prospectus) or by or on behalf of any person in any other jurisdiction that would be restricted or prohibited from applying for or acquiring Shares and that we will not sell, transfer or otherwise dispose of such Shares directly or indirectly, to or for the account of any US person or in the US or in or for the account of any person in such other jurisdiction and, if this should be the case, we agree to indemnify FTSF and the Management Company accordingly.
5. We declare that no payments for funding investments into FTSF is directly or indirectly derived from activities that may contravene applicable anti-money laundering and counter terrorist financing laws and regulations or any tax laws.
6. We declare that we are over 18 years of age and have full capacity to subscribe, hold and/or otherwise deal in Shares.
7. We declare and agree that any further application for Shares by us shall be made and/or deemed to be made in accordance with the currently applicable FTSF documentation.
8. We agree to the acceptance and processing of applications (except initial applications), sales, switches sent by facsimile and/or email and/or electronic instructions without subsequent written confirmation; we agree that Franklin Templeton Investments will not be held liable for any action taken following receipt of facsimile and/or email and/or electronic instructions and any loss caused.
9. We have read the data protection clause in the Application Notes and Franklin Templeton's Privacy and Cookie Notice, available at [www.franklintempletonglobal.com/privacy](http://www.franklintempletonglobal.com/privacy).
10. We hereby confirm that the information contained in the application form is complete and accurate and we hereby agree to forward any changes in our personal data as soon as possible. We hereby confirm acceptance to indemnify FTSF, the Management Company or any other entity of Franklin Templeton Investments or any of their directors, officers, employees or agents for any damage, loss or other expenses they may incur in consequence of any wrong or misleading statement or omission.
11. We undertake to immediately inform FTSF and the Management Company when the person(s) designated as beneficial owners(s) change.
12. We hereby authorise the Management Company, with registered office in Luxembourg, to disclose to our financial adviser which name and address is mentioned in our application form, any information regarding our account (including our account statements) and discharge FTSF and the Management Company of any liability in respect of such disclosure.
13. We individually accept the terms and conditions detailed in the Application Notes.
14. We acknowledge that the present application form as well as any transaction relating to Shares of FTSF are governed by and construed in accordance with the laws of the Grand Duchy of Luxembourg.
15. We undertake to provide the Management Company with all the above information for each new subscription.
16. **Clearstream/Euroclear customers ONLY** — In respect of each transaction, we agree to supply Franklin Templeton Investments with the relevant Clearstream/Euroclear account (affiliate) number and Franklin Templeton Investments "Account Number" relating to each underlying customer for whom the Shares are being transacted, as well as any information relating to the origination of the Shares, if so requested. Please note that Franklin Templeton Investments will not place a transaction until receipt of such confirmation. We agree to provide Franklin Templeton Investments upon demand, full information regarding any transfer. Settlement of transactions via Clearstream/Euroclear will only be available to customers who have submitted complete account opening documentation (and for transactions involving whole numbers of Shares for Euroclear).
17. We acknowledge that FTSF and/or the Management Company may, in accordance with the Luxembourg - U.S. Intergovernmental Agreement for tax compliance with FATCA, the EU Directive 2014/107/EU in relation to automatic exchange of financial information, and other International Tax Agreements concluded by the Grand-Duchy of Luxembourg, communicate to the competent Luxembourg tax authorities the registration details as stated above, including country of tax residence, tax identification number, client reference numbers, account balances and payments made in relation to the account and including such details in relation to beneficial owners of the account. The Luxembourg Tax Authorities may transfer this data automatically on an annual basis with the relevant tax authorities of the U.S, EU member states or other countries in accordance with International Tax Agreements.
18. We undertake to advise Franklin Templeton Investments within 30 days of any change in circumstances which affects the Investor's tax residency status.
19. We confirm that we have notified details of Franklin Templeton's Privacy and Cookie Notice, available at [www.franklintempletonglobal.com/privacy](http://www.franklintempletonglobal.com/privacy), to any and all individuals who are Investors and beneficial owners in and of the Shares, and to all our representatives who deal with FTSF in relation to this Application.

We would like to receive further information on Franklin Templeton Investments products and we understand that we have the right to revoke this at anytime.

Please read the notes at the end of this form.

**X**

Place/Date/Signature

**X**

Place/Date/Signature

Financial Adviser's Number

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Financial Adviser's Name

- Each Investor has provided proof of identity by presenting the appropriate documents (see Application Note A).
- The prospectus, the most recent annual and semi-annual reports and a copy of the application form have been given to the Investor(s) as applicable.

SIGNATURE AND COMPANY STAMP OR ADDRESS

**X**

Place/Date/Signature of the Financial Adviser

Time and Date Received

Time and Date Transmitted

Dealing Date for Office Use Only

**CONTACT INFORMATION****LUXEMBOURG****Franklin Templeton International Services S.à r.l.**

Tel: +352 46 66 67 212

Email: lucas@franklintempleton.com

Website: www.franklintempleton.lu

**FRANCE****Franklin Templeton France S.A.**

Tel: +33 1 40 73 86 04

Email: ParisCDS@franklintempleton.com

Website: www.franklintempleton.fr

**MIDDLE EAST****Franklin Templeton Investments (ME) Limited**

Tel: +971 4 42 84 109

Email: Cdsdubai@franklintempleton.com

Website: www.franklintempletonme.com

**NORDIC COUNTRIES AND UNITED KINGDOM****Franklin Templeton Investment Management Limited**

Tel: +44 207 073 8690

Email: enquiries@franklintempleton.co.uk

Website: www.franklintempleton.co.uk

**ROMANIA****Franklin Templeton Investment Management UK – Sucursala Bucuresti**

Tel: +40 21 200 96 00

Email: bucharestcds@franklintempleton.de

Website: www.franklintempleton.ro

**IBERIA****Franklin Templeton Investment Management, Ltd.**

Tel: +34 914 263 600

Email: operaciones@franklintempleton.com

Website: www.franklintempleton.com.es

**ITALY****Franklin Templeton International Services S.à r.l., Succursale Italiana**

Tel: +39 (02) 85459290

Email: servizioclientimilano@franklintempleton.com

Website: www.franklintempleton.it

**SOUTH AFRICA****Franklin Templeton Investments South Africa (Pty) Ltd**

Tel: +27 (21) 831 7400

Email: CDSSouthAfrica@franklintempleton.com

Website: www.franklintempleton.co.za

**CENTRAL AND EASTERN EUROPE****Templeton Asset Management (Poland) TFI S.A.**

Tel: +48 22 337 1383

Email: CDSPoland@franklintempleton.com

Website: www.franklintempleton.pl

## APPLICATION NOTES

These notes are designed to help you to complete the application form as effectively as possible, and should be read in conjunction with FTSF prospectus which is available at [www.ftdocuments.com](http://www.ftdocuments.com).

Terms used in the application form and not specifically defined otherwise in the application form shall have the same meaning as in FTSF prospectus.

### A. SECTION 1 - REGISTRATION DETAILS, INTERNATIONAL TAX REGULATIONS AND MEASURES AGAINST MONEY LAUNDERING

#### Applications submitted by a Company, Trust, Entity or Foundation

Applications submitted by a company (or trust), or by a financial adviser on behalf of Investors may need to be accompanied by a completed W-8BEN-E form and by the following documents:

**In the case of a Company, Partnership, Trust or Other Entity**, Applications must be accompanied by a tax residency self-certification in compliance with EU Directive 2014/107/EU and Luxembourg's International Tax Agreements with other countries, and also a U.S. W8 BEN, W9 or other applicable IRS tax form in accordance with the Luxembourg IGA for compliance with the US FATCA Regulations. A tax residency self-certification is also required for each controlling person of the entity, where applicable. A U.S. W8 BEN, W9 or other applicable IRS tax form may be downloaded at [www.irs.gov](http://www.irs.gov). Self-certifications for entities, controlling persons and individuals may be downloaded from [www.franklintempleton.lu](http://www.franklintempleton.lu).

**In the case of financial institutions registered and supervised for anti-money laundering purposes by a local financial authority**, they are requested to provide proof of regulation. This can be either a copy of the financial licence or an internet link to the financial institution's entry on the appropriate financial authority's website.

**In the case of legal entities not supervised but listed on a stock exchange in a FATF/EEA**, they are requested to provide proof of listing. Additionally, all financial institutions and legal entities are to provide a current and dated list on company letterhead of all authorised signatories or an officially published booklet of authorised signatories.

**In the case of entities such as foundations, trusts and charities**, they are also requested to provide official documentation that explains the holding structure and purpose of the entity.

Please note that "identity documentation" shall be understood as any official document bearing a clear photo of an individual, which establishes, without doubt, the identity of said individual, such as passport, identity card, driving licence, or residence permit. Please note that these are our minimum requirements and Franklin Templeton Investments reserves the right to request additional documentation on an individual basis.

#### Country of Tax Residence

Tax Regulations require us to collect information about each Investor's tax residency. Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers in sections 1 and 7. For beneficiaries who are a US citizen or resident, please include United States in this related field along with the relevant US Tax Identification Number. If you have any questions regarding tax residency, please contact your tax advisor. Failure to provide documentation may result in delay in investment or the withholding of sale proceeds.

### B. SECTION 1 - CORRESPONDENCE DETAILS

If you prefer to receive correspondence to an address which is different from your organisation's permanent address, please insert the details of this address. Please attach a copy of the latest telephone bill or utility bill showing this address as evidence to this application.

### C. SECTION 5 - BANK ACCOUNT DETAILS

Please ensure you complete the bank account details in full. The bank account details provided must be for an account held in the Investor(s)' name. **Third party payments will not be made or accepted.** Please note that for bank accounts held in Europe, or in countries where International Bank Account Number (IBAN) details are provided by the Investor(s) bank(s), an IBAN must be provided. In absence of a valid IBAN, Investors may be charged extra fees by their banks. Affected Investors should be able to get an IBAN number from their bank. Additional fees may be deducted by your bank on incoming payments in line with the terms and conditions you have agreed with them.

Investors should note that if the bank account details provided are in a country other than the Investor's country of residence FTSF or the Management Company reserves the right to ask for additional information or documentation so as to comply with any applicable laws or regulation or which provides additional Investor protection to the satisfaction of FTSF. Failure to provide such additional information or documentation may result in a delay in the execution of the transactions or the release of the payment proceeds or dividends.

### D. SECTION 6 - DIVIDENDS

If you invested in distribution Shares any dividends will normally be reinvested in further distribution Shares of the Fund and Class to which such dividends relate, unless otherwise stated in the application form. If the dividend should be paid out and no bank details are given in section 5, we will send a cheque to the correspondence address given in section 1.

If you would like to set up different dividend options for each Fund please contact your personal financial adviser or the service team at your local Franklin Templeton Investments office.

### E. SECTION 8 - DECLARATIONS

A legal entity should execute documents under its common seal or should sign under the hand of a duly authorised official. Please submit evidence of authorisation with the application form. If the application form is signed by any person whose name is not listed as one of the authorised officials it must be accompanied by a certified copy of the authority under which the form has been signed.

#### Anti-Money Laundering and Counter-Terrorist Financing Legislation

Pursuant to Directive 2015/849/EU on the prevention of the use of the financial system for the purposes of money laundering or terrorism financing and the Luxembourg Law of 12 November 2004, as amended, all professionals of the financial sector are obliged to take measures to prevent the use of UCITS for money laundering and terrorist financing purposes. Accordingly the Management Company has established a procedure to identify all its Investors. To meet requirements Investors should submit necessary identification documents together with the application form. Legal entities will be required to produce documents such as proof of regulation, membership to a recognised stock exchange, company statutes or other constitutive documents as applicable. The Management Company is also obliged to identify any beneficial owners of the investment. The requirements apply to both direct purchases to FTSF and indirect purchases received from an intermediary. The Management Company reserves the right to ask at any time for additional information and documentation, such as source of funds and origin of wealth, as may be required in higher risk scenarios or to comply with any applicable laws and regulations. In case of delay or failure to provide such information and/or documentation, the Management Company may delay or reject the processing of purchase or sale instructions, or any other transaction. The Management Company may also delay or suspend the payment of dividends until relevant and satisfactory information and/or documentation is received. Neither FTSF nor the Management Company have any liability for delays or failure to process deals as a result of the Investor providing no or only incomplete information and/or documentation. Such information provided to the Management Company is collected and processed for anti-money laundering and counter-terrorist financing compliance purposes.

#### Foreign Account Tax Compliance Act (FATCA)

The Foreign Account Tax Compliance Act ("FATCA"), which is an amendment to the U.S. Internal Revenue Code, was enacted in the United States in 2010 and many of the operative provisions became effective on July 1, 2014. Generally, FATCA requires financial institutions outside the US ("foreign financial institutions" or "FFIs") to provide the U.S. Internal Revenue Service ("IRS") with information about financial accounts held directly or indirectly by certain specified US persons. A 30% withholding tax is imposed on certain types of US source income paid to an FFI that fails to comply with FATCA. On 28 March 2014, the Grand-Duchy of Luxembourg entered into a Model 1 Intergovernmental Agreement ("IGA") with the United States of America and a memorandum of understanding in respect thereof. FTSF would hence have to comply with such Luxembourg IGA, once the IGA has been implemented into Luxembourg law in order to comply with the provisions of FATCA rather than directly complying with the US Treasury Regulations implementing FATCA. Under the IGA, FTSF is required to collect information aiming to identify its Shareholders and beneficiaries that are US Persons for FATCA purposes ("reportable accounts"). Any such information on reportable accounts provided to FTSF will be shared with the Luxembourg tax authorities which will exchange that information on an automatic basis with the Government of the United States of America pursuant to Article 28 of the convention between the Government of the United States of America and the Government of the Grand-Duchy of Luxembourg for the Avoidance of Double Taxation and the Prevention of Fiscal Evasion with respect to Taxes in Income and Capital, entered into in Luxembourg on 3 April 1996. FTSF intends to comply with the provisions of the Luxembourg IGA as implemented in Luxembourg law to be deemed compliant with FATCA and will thus not be subject to the 30% withholding tax with respect to its share of any such payments attributable to actual and deemed U.S. investments of FTSF. FTSF will continually assess the extent of the requirements that FATCA and notably the Luxembourg IGA as implemented in Luxembourg law places upon it. As from the date of signature of the Luxembourg IGA and until the Grand Duchy of Luxembourg has implemented the national procedure necessary for the entry into force of the IGA, the United States Department of the Treasury will treat FTSF as complying with and not subject to the FATCA Withholding.

To ensure FTSF's compliance with FATCA and the Luxembourg IGA in accordance with the foregoing, Franklin Templeton Investment Services S.à r.l., in its capacity as FTSF's Management Company, may:

- request information or documentation, including W8 BEN, W9 or other applicable IRS tax forms, a Global Intermediary Identification Number, if applicable, or any other valid evidence of a Shareholder's FATCA registration with the IRS or a corresponding exemption, in order to ascertain such Shareholder's FATCA status;
- report information concerning a Shareholder and his account holding in

**Questions?** Please contact your Financial Adviser or local Franklin Templeton Investments office

## APPLICATION NOTES *(continued)*

FTSF to the Luxembourg tax authorities if such account is deemed a US reportable account under the Luxembourg IGA;

- c. report information to the Luxembourg tax authorities concerning payments to account holders with the FATCA status of non-participating foreign financial institution; and
- d. deduct applicable US withholding taxes from certain payments made to a Shareholder by or on behalf of FTSF in accordance with FATCA and the Luxembourg IGA, if applicable, from 2019 or later.

### Automatic Exchange of Information

On 29 October, 2014 the Grand-Duchy of Luxembourg signed the Multilateral Competent Authority Agreement (the "MCAA") on the implementation of the Global Standard for the automatic exchange of financial account information. By signing the MCAA, Luxembourg has agreed to implement regulations to enable the adoption of automatic exchange of information with other MCAA signatory countries. The first exchange of information will take place in 2017, in relation to accounts held in the 2016 calendar year.

On 9 December, 2014 the European Council adopted Directive 2014/107/EU in relation to the administrative cooperation in the field of direct taxation. Directive 2014/107/EU provides for the automatic exchange of account information between EU member states, with reporting commencing in 2017 in relation to accounts held in the 2016 calendar year.

FTSF and / or the Management company may be required by Luxembourg Law to report details of specified accounts of account holders resident in EU member states or MCAA signatory jurisdictions. The Luxembourg Tax Authorities may share such account data in accordance with Directive 2014/107/EU and the MCAA with the Tax Authorities of other EU member states and MCAA signatory jurisdictions outside of the EU where the account holder is tax resident.

### U.S. Persons

U.S. Persons (as defined from time to time by Regulation S of the United States Securities Act of 1933, as amended, or by the United States Commodity Futures Trading Commission) are not eligible to invest in the Fund. In the absence of written notice to the Fund to the contrary, if a potential Investor provides a non-U.S. address on the application form for investment in the Fund, this will be deemed to be a representation and warranty from such Investor that the Investor is not a U.S. Person and will continue to be a non-U.S. Person so long as such Investor holds any Shares of the Fund.

### Facsimile, Email and Electronic Instructions

Initial application forms should be completed and sent in original. Subsequent purchases, sales and switches may be made by facsimile or electronic instructions (including SWIFT) without written confirmation (except in some limited circumstances). However, written confirmation may still be requested by FTSF or the Management Company or any other entity of Franklin Templeton Investments at their own discretion. The sale proceeds are payable only to yourself as recorded Investor at the address and bank account as specified in the application form. Such details cannot be changed by facsimile or electronic instruction but can be changed by an email instruction attaching the standard form available online or upon request. Note: email instructions will be accepted only from the email address we have on record. The Management Company or any other entity of Franklin Templeton Investments will rely on such facsimile, email or electronic instructions received in good faith without further enquiry, until advised in writing. If further clarification is required, this includes the right to request original documentation.

Franklin Templeton Investments cannot accept responsibility for use of an incorrect facsimile number or email address, failure of the facsimile transmission, non-receipt of the electronic instruction or failure of the technical infrastructure, or any allotment, transfer, payment or other act done in good faith in accordance with any facsimile, email or electronic instruction. You agree to indemnify the Management Company or any other entity of Franklin Templeton Investments for any damage, loss or other expenses they may incur further to the processing of facsimile, email and electronic instructions.

### e-Documents

E-Documents are electronic documents available at <https://accounts.victorbucksservices.com>. To allow you to access, view and download e-Documents at your convenience, you will automatically be enrolled to receive e-Documents for all your portfolios. More information on the registration, login, and functionalities of the service are detailed in the e-Documents User Guide available for download from the local website. To opt out of this service and receive hard copies of your documents, you may call your local Client Dealer Services Team.

### Data Protection

All personal data of Investors ("Data") contained in the application form and all and any further personal data collected in the course of the business relationship with FTSF and/or the Management Company may be collected, recorded, stored, adapted, transferred or otherwise processed and used ("processed") by FTSF, the Management Company and other companies of Franklin Templeton Investments, including Franklin Resources, Inc., One Franklin Parkway, San Mateo, California and/or its subsidiaries and associates, which may be established outside Luxembourg and/or the European Union, including India and the US, the Depositary and the financial intermediary of such Investors. Such Data shall be processed for the purposes of account administration, anti-money laundering and counter-terrorist financing identification. To this end, Data may be transferred (i) to companies appointed by FTSF or the Management Company (e.g. client communication agents or paying agents) to support FTSF related activities and (ii) to third parties such as governmental or regulatory bodies including tax authorities, auditors and accountants in Luxembourg as well as in other jurisdictions.

Further details are in our Privacy and Cookie Notice, which is available at [www.franklintempletonglobal.com/privacy](http://www.franklintempletonglobal.com/privacy).

FTSF and/or the Management Company may be required to disclose personal data to the Luxembourg Tax Authorities in relation to accounts reportable under the terms of the Luxembourg - U.S. Intergovernmental Agreement for tax compliance with FATCA, EU Directive 2014/107/EU in relation to automatic exchange of financial information, and other International Tax Agreements concluded by the Grand-Duchy of Luxembourg. Data shall not be held for longer than necessary with regard to the purpose of the data processing, subject always to applicable legal minimum retention periods.

## F. SECTION 9 - FINANCIAL ADVISER DETAILS

To enable us to process the application quickly, it is essential for the financial adviser to confirm the details given, by means of signature, company stamp or by giving their address in block capitals. In addition, financial adviser's number must be indicated in section 9.

### NOTES FOR APPLICANT

In order to process your application without delay, please ensure you:

- Complete and sign the application form and mail or fax it to the following address:

**Franklin Templeton International Services S.à r.l.**

**B.P. 169**

**L-2011 Luxembourg**

**Tel: +352.46.66.67.212/Fax: +352.46.66.76**

Please remember to send all applicable identification to allow us to complete the required Anti-Money Laundering and Counter Terrorist checks.

- Once we have received your application form, you will be allocated your personal client reference number with your first account statement. Please quote this number as the reference for all further payments as well as in all correspondence.







**Application Number**

(where applicable)

## 1 INSTRUCTIONS FOR COMPLETING THE BENEFICIAL OWNER DECLARATION FORM

1. In case the Legal Entity is directly or indirectly majority-owned by a company listed on a recognized stock exchange and there are no other individual shareholders that owned (i) more than 25% of the share capital or voting rights or economic interests in the Legal Entity or (ii) more than 25% of the assets of the Legal Entity, please provide details of the senior management official(s)\* of the Legal Entity in section 2 below.
2. In case the Legal Entity is directly or indirectly majority-owned by a company listed on a recognized stock exchange, and there is/are one/several Beneficial Owner(s) of the Legal Entity holding directly or indirectly (i) more than 25% of the share capital or voting rights or economic interests in the Legal Entity or (ii) more than 25% of the assets of the Legal Entity, please provide the relevant information for each natural person who exceeds the 25% threshold and provide details of the senior management official(s)\* of the Legal entity in section 2 below.
3. In case the Legal Entity is not directly or indirectly majority-owned by a company listed on a recognized stock exchange, and there are no individual shareholders that owned (i) more than 25% of the share capital or voting rights or economic interests in the Legal Entity or (ii) more than 25% of the assets of the Legal Entity, please provide details of the senior management official(s)\* of the Legal Entity in section 2 below.
4. In case the Legal Entity is not directly or indirectly majority-owned by a company listed on a recognized stock exchange, and there is/are one/several Beneficial Owner(s) of the Legal Entity holding directly or indirectly (i) more than 25% of the share capital or voting rights or economic interests in the Legal Entity or (ii) more than 25% of the assets of the Legal Entity, please provide the relevant information for each natural person who exceeds the 25% threshold in section 2 below.
5. Exception for Trusts: all parties to the Trust must be considered as Beneficial Owners (Settlor, Protector (if any), Trustees and Beneficiaries) and be listed in section 2 below.

\*Senior Management refers to individuals with executive power over the Entity.

## 2 DETAILS OF BENEFICIAL OWNERS as per instructions above.

### BENEFICIAL OWNER 1

First Name(s)		Last Name	
<input type="text"/>		<input type="text"/>	
Address			
<input type="text"/>			
City/Town		Post Code	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Country of Tax Residence		Tax Identification Number	
<input type="text"/>		<input type="text"/>	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
<input type="text"/>	<input type="text"/>

### BENEFICIAL OWNER 2

First Name(s)		Last Name	
<input type="text"/>		<input type="text"/>	
Address			
<input type="text"/>			
City/Town		Post Code	Country
<input type="text"/>		<input type="text"/>	<input type="text"/>
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Country of Tax Residence		Tax Identification Number	
<input type="text"/>		<input type="text"/>	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
<input type="text"/>	<input type="text"/>

**BENEFICIAL OWNER 3**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 4**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 5**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 6**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 7**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 8**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 9**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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**BENEFICIAL OWNER 10**

First Name(s)		Last Name	
Address			
City/Town		Post Code	Country
Date of Birth	Profession	Precise Ownership or Voting Rights (In %)	
Country of Tax Residence		Tax Identification Number	

If taxable in multiple countries, please report the additional details below:

Country of Tax Residence	Tax Identification Number
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\*If your number of Beneficial Owners exceeds 10, please use additional form.